

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Stuart A. Fraser et al.  
Application No. : 10/829,119 Confirmation No. : 6481  
Filed : April 20, 2004  
For : SYSTEMS AND METHODS FOR TRADING  
Group Art Unit : 3691  
Examiner : Ann E. Loftus

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**INTERVIEW SUMMARY**

Applicants' representative, Glen Farbanish, wishes to thank Examiner Loftus for her time and courtesy on February 19, 2010 to discuss the above identified application. In particular, we discussed the rejection of the independent claims as set forth in Examiner Loftus' Answer of December 16, 2009. As a result of that discussion, it is my understanding that Examiner Loftus has the following position:

1. That Wiseman discloses a period where an order can be canceled, followed by a period (i.e., the proposal stage) where the order cannot be canceled.
2. That Menzl page 10, section 5.4.1 (see paragraph reading, "Evaluation time ... until the contract is signed") discloses a period (i.e., the evaluation time) where an order cannot be canceled, followed by a period where the order can be canceled.
  - That the above noted paragraph of Menzl section 5.4.1 may be read independently from Menzl page 27 (see paragraph reading, "Guarantee is forfeited... during the standard or extended evaluation time....").

3. That it would be obvious in view of Menzl to modify the proposal stage of Wiseman such that the proposal stage includes a portion where an order cannot be canceled followed by a portion where the order can be canceled.

Respectfully submitted,

February 22, 2010  
Date

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